



**Katie M. Hobbs, Governor**  
**Barbara D. Richardson, Director**

## Personal Financial Statement

Section 7 Page 1 of 4

- Do not use for business statement.
- Legibly print or type all information.
- There must be an answer provided for each question. Therefore, if not applicable use "None" or "N/A".
- Schedules, details and descriptions must be completed in space provided and by attachments if necessary.
- Total Assets must equal Total Liabilities and Net Worth.
- Describe any unusual assets or liabilities.

Name \_\_\_\_\_ Financial Condition As of Date \_\_\_\_\_  
 Address, City, State, Zip \_\_\_\_\_  
 Occupation \_\_\_\_\_  
 Customer at what financial institution \_\_\_\_\_ (office)

Assets	Amount	Liabilities	Amount
Cash in Bank		Notes Payable to Bank	
Cash in other Banks (detail)		Notes payable to Other Banks (detail below)	
Ordinary Accounts receivable - Good		Ordinary Accounts Payable	
Due from Friends and Relatives (describe)		Due to Friends & Relatives (describe below)	
Notes Receivable - Good (Sched 1)		Notes Payable to Others (describe below)	
Mortgages Owned (Sched 1)			
Readily Marketable Securities (Sched 4)		Automobile Loans or Leases	
Other Securities (Sched 4)		Due to Brokers	
Cash Surrender Value of Life Insurance (Sched 5)		Loans on Life Insurance (Sched 5)	
Real Estate & Buildings (Sched 2)		Mortgages or Liens on Real Estate (Sched 3)	
Automobiles		Installment Loans	
Personal Property		Income Taxes Payable	
Other Assets (describe below)		Other Taxes Payable	
		Other Liabilities (describe below)	
		Credit Cards	
<b>Total Assets</b>		<b>Total Liabilities</b>	
		<b>Net Worth (Assets Minus Liabilities)</b>	

### Approximate Annual Income and Expense (exclusive of ordinary living expenses)

Income	Amount	Fixed Expenses	Amount
Salary From		Insurance Premiums	
Income from Securities		Rent or Mortgage Payments	
Real Estate Rental		Income Taxes (for year _____)	
Net Income form Business or Profession		Other Taxes	
Other (Alimony, child support or separate maint.)		Other (Include alimony, child support or separate maintenance payments if you are obligated to make them.	
<b>Total Income</b>		<b>Total</b>	

1. Are the above evaluations on receivables conservative? Yes      No (If no, explain by separate letter)
2. Are any assets pledged or debts secured except as indicated? Yes      No (If yes, itemize by debt and security)
3. Do you have any contingent liabilities for guarantees, endorsements or otherwise? Yes      No (If yes, explain)
4. Do you do business with any other bank? Yes      No (If yes, nature of business)



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5. If you are married are any of the above assets your spouse's separate property? Yes No (If yes, itemize)
6. Are there any suits, judgments, tax deficiencies or other claims pending or in prospect against you? Yes No (If yes, explain by separate letter)
7. Have you ever gone through bankruptcy or compromised a debt? Yes No (If yes, explain by separate letter)
8. Have you made a will? Yes No Who is named executor of estate? \_\_\_\_\_

**Complete the following schedules**

**Schedule 1 - Notes and Mortgages Owned**

Describe here or on separate sheet any important or unusual receivables.

Name Of Debtor	Amount Due	How Payable	Remarks (Include description & value of any security)

**Schedule 2 – Real Estate and Buildings**

Provide details of encumbrances on Schedule 3 opposite proper parcel number.

Parcel	Location & Description (Include improvements)	Monthly Income	Title In Name Of	Value On Land	Improvements	Encumbrances Amount	Fire Ins. Amount
No. #1							
No. #2							
No. #3							
No. #4							
No. #5							

What is the basis for the above valuations? (State whether cost, fair market value today, or other basis)

Are there any properties held on joint tenancy? Yes No Parcel numbers: \_\_\_\_\_

**Schedule 3 - Real Estate Encumbrances**

Parcel	Amt. Owing Per Sched 2	Nature of Encumbrance and to Whom Payable	Interest Rate	Due Date	Payment Amount	*Are Interest & Principal Current.
No. #1						Yes No
No. #2						Yes No
No. #3						Yes No
No. #4						Yes No
No. #5						Yes No

\* If any payments of principal or interest are delinquent, provide details.

Are any taxes delinquent? Yes No (If yes, give amount and details)

Are there any unrecorded deeds, liens, or other claims not shown above? \_\_\_\_\_



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**Schedule 4 - Securities Owned**  
 Attach separate schedule sheet if needed.

Stock - Shares, Bond Amounts	Description	Value Carried On This Statement	Current Market On Listed Amount		Estimated Value on Unlisted		Annual Dividend
			@	Amount	@	Amount	

In whose name are the above securities held? \_\_\_\_\_

If in names of yourself and co-owner, are they joint tenancy? \_\_\_\_\_

**Schedule 5 - Insurance**

Public liability on autos \$ \_\_\_\_\_

Property damage on autos \$ \_\_\_\_\_

**Life Insurance**

Beneficiary	Amount Of Policy	Cash Value	Amount Of Liens	Net Cash Value
	\$	\$	\$	\$
	\$	\$	\$	\$
	\$	\$	\$	\$
	\$	\$	\$	\$
	\$	\$	\$	\$

**REMARKS:** (Furnish complete details and attach additional sheets if necessary)



**FINANCIAL INSTITUTIONS DIVISION**  
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State whether you have within the last 15 years:

- |    |   |     |    |
|----|---|-----|----|
| a. | been convicted of any criminal offense other than traffic violation?  | Yes | No |
| b. | had a final judgment entered against him/her in a civil action on account of fraud, misrepresentation, or deceit?   | Yes | No |
| c. | filed bankruptcy?   | Yes | No |
| d. | had an order entered against you by an administrative agency of this state, the federal government, or any other state or territory of the United States involving fraud, misrepresentation, or deceit? | Yes | No |

If you answered yes to any of the aforementioned (9 a, b, c, or d), furnish complete details on a separate sheet.

I certify that the above information provided by me is true, complete and correct to the best of my knowledge and belief.

\_\_\_\_\_

Date

\_\_\_\_\_

Signature