



# Arizona Department of Financial Institutions

## 2014 Regulatory Agenda

November 29, 2013

(A.R.S. § 41-1021.02)

A.A.C. Title 20, Chapter 4 Department of Financial Institutions

The Department has prepared this annual regulatory agenda available to the public in accordance with the requirements of A.R.S. § 41-1021.02. The Department expects to follow this regulatory agenda; however, this annual regulatory agenda does not prohibit the Department from undertaking any rulemaking action even if that action is not included in this annual regulatory agenda.

### **I. Rulemakings on Which the Department Expects to Take Action in 2014**

The Department will comply with Executive Order 2012-03 signed on June 26, 2012.

<b>RULEMAKING</b>	<b>RULEMAKING NOTICE OF DOCKET OPENING</b>	<b>NOTICE OF PROPOSED RULEMAKING</b>	<b>NOTICE OF FINAL RULEMAKING</b>
Article 1. General	(expected)	(expected)	(expected)
Article 9. Mortgage Brokers	(expected)	(expected)	(expected)

### **II. Potential Sources of Federal Funding, Privatization Options and Nontraditional Regulatory Approaches for Rulemakings**

None

### **III. Reviews of Existing Rules Due in 2014**

Articles 9, 18 & 19 (June 2014)

Articles 6, 7, 8, 10 & 11 (September 2014)

### **IV. Rulemakings Terminated in 2013**

None

Please refer any comments concerning this information to the Department's Legislative Liaison, Louis Dettorre at [ldettorre@azdfi.gov](mailto:ldettorre@azdfi.gov).