

Arizona Department of Insurance

Orders Filed: 1/1/2022 - 12/31/2022

| <u>Docket Number</u> <u>Matter Name</u> | <u>Type of Action</u> <u>Settled by</u> <u>Consent</u> <u>Source</u> | <u>Party Name</u> <u>License #</u> <u>/ NAIC #</u> | <u>Dates:</u> <u>Order</u> <u>SS or CD</u> | <u>Fines:</u> <u>Civil Penalty</u> <u>Restitution</u> | <u>Ordered*</u> |
|---|--|---|--|---|-----------------------------|
| 21A-090-INS OSTLER, NICHOLAS CHRISTION | Consent Order | Nicholas Ostler 19639855 / | 1/3/2022 | \$750.00 \$0.00 | Civil Money Penalty |
| | No Investigations | | | | |
| 22A-001-INS FREEDOM NATIONAL INSURANCE SERVICES, INC. | Consent Order | Freedom National Insurance Services, Inc. 3225338 / | 1/7/2022 | \$15,000.00 \$0.00 | Civil Money Penalty |
| | No Investigations | | | | |
| 22A-003-INS HARLEYSVILLE LIFE INSURANCE COMPANY | Acquisition | Harleysville Life Insurance Company / 64327 | 1/20/2022 | \$0.00 \$0.00 | Order Approving Merger |
| | No FAD | | | | |
| 22A-005-INS ARIZONA AUTOMOBILE INSURANCE COMPANY | Acquisition | Arizona Automobile Insurance Company / 11805 | 2/3/2022 | \$0.00 \$0.00 | Order Approving Acquisition |
| | No FAD | | | | |
| 21A-092-INS LOPEZ, FRANCISCO | Revocation (Contested Case) | Francisco Lopez 16577813 / | 2/22/2022 | \$0.00 \$0.00 | License Revocation |
| | No Investigations | | | | |

Report Key: SS or CD: Summary Suspension or Cease and Desist

***For complete details on an Order - please request a paper copy from the Department of Insurance**

Source Codes: CAD - Consumer Affairs Division; FAD - Financial Affairs Division; MOD - Market Conduct Exam; PC - Property and Casualty Division

| <i>Docket Number</i> <i>Matter Name</i> | <i>Type of Action</i> <i>Settled by</i> | | <i>Party Name</i> | | <i>Dates:</i> | <i>Fines:</i> | <i>Ordered*</i> |
|--|---|---------------|---|-----------------|---------------------------------|--|---|
| | <i>Consent</i> | <i>Source</i> | <i>License #</i> | <i>/ NAIC #</i> | <i>Order</i> <i>SS or CD</i> | <i>Civil Penalty</i> <i>Restitution</i> | |
| 21A-087-INS SNYDER, ERIC AND OFFICES OF ERIC J. SNYDER, LLC | License Denial (Appealable Agency Action) | | Eric J. Snyder | / | 2/22/2022 | \$0.00 | Denial re Eric J. Snyder affirmed. Denial re Offices of Eric J. Snyder, LLC affirmed |
| | No | Licensing | Offices of Eric J. Snyder, LLC | / | | \$0.00 | |
| 22A-009-INS PRUDENTIAL AMMUITIES LIFE ASSURANCE CORPORATION | Acquisition | | Prudential Annuities Life Assurance Corporati | / 86630 | 2/22/2022 | \$0.00 | Order Approving Acquisition |
| | No | FAD | | | | \$0.00 | |
| 22A-010-INS IOWA-MIDWEST INSURANCE COMPANY | Withdrawal | | Iowa-Midwest Insurance Company | / 86320 | 2/22/2022 | \$0.00 | Order Approving Withdrawal |
| | No | FAD | | | | \$0.00 | |
| 22A-013-INS JOHN ALDEN LIFE INSURANCE COMPANY | Acquisition | | John Alden Life Insurance Company | / 65080 | 3/23/2022 | \$0.00 | Order Approving Acquisition |
| | No | FAD | 21st Century Life and Health Company, Inc. | / | | \$0.00 | |
| 22A-018-INS ACCEPTANCE INSURANCE COMPANY | Merger | | Acceptance Insurance Company | / 37958 | 4/1/2022 | \$0.00 | Order Approving Merger |
| | No | FAD | | | | \$0.00 | |

Report Key: SS or CD: Summary Suspension or Cease and Desist

*For complete details on an Order - please request a paper copy from the Department of Insurance

Source Codes: CAD - Consumer Affairs Division; FAD - Financial Affairs Division; MOD - Market Conduct Exam; PC - Property and Casualty Division

| <i>Docket Number</i> <i>Matter Name</i> | <i>Type of Action</i> <i>Settled by</i> | | <i>Party Name</i> | | <i>Dates:</i> | <i>Fines:</i> | <i>Ordered*</i> |
|--|--|---------------|---|-----------------|---------------------------------|--|---|
| | <i>Consent</i> | <i>Source</i> | <i>License #</i> | <i>/ NAIC #</i> | <i>Order</i> <i>SS or CD</i> | <i>Civil Penalty</i> <i>Restitution</i> | |
| 22A-019-INS FOR LIFE INSURANCE COMPANY | Withdrawal | | For Life Insurance Company / 85227 | | 4/18/2022 | \$0.00 \$0.00 | Order Approving Withdrawal |
| | No | FAD | | | | | |
| 22A-020-INS FORTEGRA SPECIALTY INSURANCE COMPANY | Acquisition | | Fortegra Specialty Insurance Company / 16823 WP Falcon Aggregator, L.P. and Warburg Pin | | 4/18/2022 | \$0.00 \$0.00 | Order Approving Acquisition |
| | No | FAD | / | | | | |
| 22A-012-INS BUTLER, SUTART THOMAS | Consent Order | | Stuart T. Butler 18350776 / | | 4/27/2022 | \$0.00 \$0.00 | License Revoked |
| | No | Licensing | | | | | |
| 22A-022-INS ACE AMERICAN INSURANCE COMPANY | Consent Order | | ACE American Insurance Company / 22667 | | 4/28/2022 | \$3,000.00 \$0.00 | Civil Penalty Timely & Complete Response |
| | No | MOD | | | | | |
| 22A-023-INS NEW JERSEY RE-INSURANCE COMPANY | Withdrawal | | New Jersey Re-Insurance Company / 35432 | | 4/29/2022 | \$0.00 \$0.00 | Order Approving Withdrawal |
| | No | FAD | | | | | |

Report Key: SS or CD: Summary Suspension or Cease and Desist

*For complete details on an Order - please request a paper copy from the Department of Insurance

Source Codes: CAD - Consumer Affairs Division; FAD - Financial Affairs Division; MOD - Market Conduct Exam; PC - Property and Casualty Division

| <i>Docket Number</i> <i>Matter Name</i> | <i>Type of Action</i> <i>Settled by</i> | | <i>Party Name</i> | | <i>Dates:</i> | <i>Fines:</i> | <i>Ordered*</i> |
|--|---|----------------|---|-----------------|---------------------------------|--|--|
| | <i>Consent</i> | <i>Source</i> | <i>License #</i> | <i>/ NAIC #</i> | <i>Order</i> <i>SS or CD</i> | <i>Civil Penalty</i> <i>Restitution</i> | |
| 22A-027-INS COMPLETE CARE HOME WARRANTY LLC FKA CREDIT RECOVERY USA LLC | Cease and Desist (Appealable Agency Action) | | Complete Care Home Warranty LLC fka Credit / | | 5/25/2022 | \$0.00 \$0.00 | Order to Cease and Desist |
| | No | Investigations | | | | | |
| 22A-028-INS STONETRUST COMMERCIAL INSURANCE COMPANY | Withdrawal | | Stonetrust Commercial Insurance Company / 11042 | | 5/27/2022 | \$0.00 \$0.00 | Order Approving Withdrawal |
| | No | FAD | | | | | |
| 22A-031-INS PARK AVENUE PROPERTY & CASUALTY INSURANCE COMPANY FKA PROVIDENCE PROPERTY AND CASUALTY | Release of Workers' Comp Deposit | | Park Avenue Property & Casualty Insurance C / 49208729 | | 6/1/2022 | \$0.00 \$0.00 | Order for Release of Workers' Compensation Deposit |
| | No | Other | | | | | |
| 22A-030-INS IMPERIAL CASUALTY AND INDEMNITY COMPANY | Release of Workers' Comp Deposit | | Imperial Casualty and Indemnity Company (/ 49207611 | | 6/1/2022 | \$0.00 \$0.00 | Order for Release of Workers' Compensation Deposit |
| | No | Other | | | | | |
| 22A-006-INS BOHON, OTTO RAMON JR. | Revocation (Contested Case) | | Otto Ramon Jr. Bohon 10180740 / | | 6/3/2022 | \$0.00 \$0.00 | Dept.'s Request to Revoke License Denied |
| | No | Investigations | | | | | |
| 22A-033-INS SIVELS, JOHN P. | Consent Order | | John P. Sivels 8274016 / | | 6/16/2022 | \$0.00 \$0.00 | License Revocation |
| | No | Investigations | | | | | |

Report Key: SS or CD: Summary Suspension or Cease and Desist

*For complete details on an Order - please request a paper copy from the Department of Insurance

Source Codes: CAD - Consumer Affairs Division; FAD - Financial Affairs Division; MOD - Market Conduct Exam; PC - Property and Casualty Division

| <i>Docket Number</i> <i>Matter Name</i> | <i>Type of Action</i> <i>Settled by</i> | | <i>Party Name</i> | | <i>Dates:</i> | <i>Fines:</i> | <i>Ordered*</i> |
|---|--|----------------|---|-----------------|---------------------------------|--|----------------------------|
| | <i>Consent</i> | <i>Source</i> | <i>License #</i> | <i>/ NAIC #</i> | <i>Order</i> <i>SS or CD</i> | <i>Civil Penalty</i> <i>Restitution</i> | |
| 22A-015-INS HARRIS, SATANDREA | Revocation (Contested Case) | | Satandrea Harris 19812549 | / | 6/20/2022 | \$0.00 \$0.00 | License Revocation |
| | No | Investigations | | | | | |
| 22A-035-INS FUSB REINSURANCE, INC. | Withdrawal | | FUSB Reinsurance, Inc. / 60152 | | 6/22/2022 | \$0.00 \$0.00 | Order Approving Withdrawal |
| | No | FAD | | | | | |
| 22A-034-INS SHERWOOD, WILLS HUNTER | Consent Order | | Wills H. Sherwood 17633804 | / | 6/23/2022 | \$0.00 \$0.00 | License Revocation |
| | No | Investigations | | | | | |
| 22A-037-INS MERIT HEALTH INSURNACE COMPANY BY PRIME THERAPEUTICS LLC | Acquisition | | Merit Health Insurance Company / 18750 Prime Therapeutics LLC | / | 7/15/2022 | \$0.00 \$0.00 | Orde Approving Acquisition |
| | No | FAD | | | | | |
| 22A-038-INS NELSON, ERIC | Consent Order | | Eric Nelson 9296297 | / | 7/20/2022 | \$0.00 \$0.00 | License Revocation |
| | No | Investigations | | | | | |

Report Key: SS or CD: Summary Suspension or Cease and Desist

*For complete details on an Order - please request a paper copy from the Department of Insurance

Source Codes: CAD - Consumer Affairs Division; FAD - Financial Affairs Division; MOD - Market Conduct Exam; PC - Property and Casualty Division

| <i>Docket Number</i> <i>Matter Name</i> | <i>Type of Action</i> <i>Settled by</i> | | <i>Party Name</i> | | <i>Dates:</i> | <i>Fines:</i> | <i>Ordered*</i> |
|--|--|----------------|--------------------------------------|-----------------|---------------------------------|--|---------------------|
| | <i>Consent</i> | <i>Source</i> | <i>License #</i> | <i>/ NAIC #</i> | <i>Order</i> <i>SS or CD</i> | <i>Civil Penalty</i> <i>Restitution</i> | |
| 22A-014-INS SARKISIAN, HAROUT | Revocation (Contested Case) | | Harout Sarkisian 17633096 | / | 7/27/2022 | \$0.00 \$0.00 | License Revocation |
| | No | Investigations | | | | | |
| 22A-041-INS WIGENT, BRYSON ALEXANDER | Consent Order | | Bryson A. Wigent 18090315 | / | 8/1/2022 | \$0.00 \$0.00 | License Revocation |
| | No | Investigations | | | | | |
| 22A-017-INS CORDONA, JOSEPH | Revocation (Contested Case) | | Joseph Cardona 19502042 | / | 8/30/2022 | \$0.00 \$0.00 | License Revoked |
| | No | Investigations | | | | | |
| 22A-045-INS ACEDO, GREG PAUL | Consent Order | | Greg P. Acedo 7943531 | / | 9/2/2022 | \$500.00 \$0.00 | Civil Money Penalty |
| | No | Investigations | | | | | |
| 22A-036-INS RIOS, MARYULI | Revocation (Contested Case) | | Maryuli Rios 16699034 | / | 9/13/2022 | \$0.00 \$0.00 | License Revoked |
| | No | Investigations | | | | | |
| 22A-047-INS FLORES-GARCIA, CYNTHIA ELIZABETH | Consent Order | | Cynthia E. Flores-Garcia 17111685 | / | 9/13/2022 | \$0.00 \$0.00 | License Revocation |
| | No | Investigations | | | | | |

Report Key: SS or CD: Summary Suspension or Cease and Desist

*For complete details on an Order - please request a paper copy from the Department of Insurance

Source Codes: CAD - Consumer Affairs Division; FAD - Financial Affairs Division; MOD - Market Conduct Exam; PC - Property and Casualty Division

| <i>Docket Number</i> <i>Matter Name</i> | <i>Type of Action</i> <i>Settled by</i> | | <i>Party Name</i> | | <i>Dates:</i> | <i>Fines:</i> | <i>Ordered*</i> |
|---|--|----------------|---|-----------------|---------------------------------|--|-----------------------------|
| | <i>Consent</i> | <i>Source</i> | <i>License #</i> | <i>/ NAIC #</i> | <i>Order</i> <i>SS or CD</i> | <i>Civil Penalty</i> <i>Restitution</i> | |
| 22A-046-INS PIERCE, DONALD LONNIE | Consent Order | | Donald L. Pierce 17375005 | / | 9/15/2022 | \$0.00 \$0.00 | License Surrender |
| | No | Investigations | | | | | |
| 22A-048-INS JUST INSURE, INC. | Acquisition | | Just Insure, Inc Trust Ventures Fund III, LP | / 16648 | 9/28/2022 | \$0.00 \$0.00 | Order Approving Acquisition |
| | No | FAD | | / | | | |
| 22A-050-INS STONEWOOD INSURANCE COMPANY | Retaliatory Deposit Order | | Stonewood Insurance Company | / 11828 | 10/6/2022 | \$0.00 \$0.00 | Retaliatory Deposit Order |
| | No | FAD | | | | | |
| 22A-052-INS CULLUMBER, RODENY JAMES | Consent Order | | Rodeny J. Cullumber 100110 | / | 10/15/2022 | \$1,000.00 \$0.00 | Civil Penalty |
| | No | Investigations | | | | | |
| 22A-051-INS Life and Health Filing Exemptions | | | | / | 10/19/2022 | \$0.00 \$0.00 | |
| | No | | | | | | |

Report Key: SS or CD: Summary Suspension or Cease and Desist

*For complete details on an Order - please request a paper copy from the Department of Insurance

Source Codes: CAD - Consumer Affairs Division; FAD - Financial Affairs Division; MOD - Market Conduct Exam; PC - Property and Casualty Division

| <i>Docket Number</i> <i>Matter Name</i> | <i>Type of Action</i> <i>Settled by</i> | | <i>Party Name</i> | | <i>Dates:</i> | <i>Fines:</i> | <i>Ordered*</i> |
|---|---|----------------|--|-----------------|---------------------------------|--|---|
| | <i>Consent</i> | <i>Source</i> | <i>License #</i> | <i>/ NAIC #</i> | <i>Order</i> <i>SS or CD</i> | <i>Civil Penalty</i> <i>Restitution</i> | |
| 22A-056-INS LANE, AMANDA NICHOLE | Consent Order | | Amanda N. Lane 19686723 | / | 10/24/2022 | \$1,000.00 \$0.00 | Civil Penalty |
| | No | Investigations | | | | | |
| 22A-057-INS KAMILA INSURANCE COMPANY | Withdrawal | | Kamila Insurance Company / | | 10/24/2022 | \$0.00 \$0.00 | Order Approving Withdrawal |
| | No | FAD | | | | | |
| 22A-054-INS AMAZON WARRANTY ADMINISTRATORS OF ARIZONA, LLC DBA AMAZON HOME WARRANTY | Cease and Desist (Appealable Agency Action) | | Amazon Warranty Administrators of Arizona, L / | | 10/31/2022 | \$0.00 \$0.00 | Cease and Desist from offering/issuing contracts Pay all claims Provide a list of all contracts in AZ |
| | No | Investigations | | | | | |
| 22A-059-INS REINSURANCE COMPANY OF AMERICA, INC. | Release of Workers' Comp Deposit | | Reinsurance Company of America, Inc. / 49208663 | | 11/8/2022 | \$0.00 \$0.00 | Order for Release of Deposit |
| | No | Other | | | | | |
| 22A-060-INS ACA FINANCIAL GUARANTY CORPORATION | Withdrawal | | ACA Financial Guaranty Corporation / 22896 | | 11/14/2022 | \$0.00 \$0.00 | Order Approving Withdrawal |
| | No | FAD | | | | | |
| 22A-053-INS FIRST VOLUNTEER INSURANCE COMPANY | Withdrawal | | First Volunteer Insurance Company / 86398 | | 11/17/2022 | \$0.00 \$0.00 | Order Approving Withdrawal |
| | No | FAD | | | | | |

Report Key: SS or CD: Summary Suspension or Cease and Desist

*For complete details on an Order - please request a paper copy from the Department of Insurance

Source Codes: CAD - Consumer Affairs Division; FAD - Financial Affairs Division; MOD - Market Conduct Exam; PC - Property and Casualty Division

| <i>Docket Number</i> <i>Matter Name</i> | <i>Type of Action</i> <i>Settled by</i> | | <i>Party Name</i> | | <i>Dates:</i> | <i>Fines:</i> | <i>Ordered*</i> |
|--|---|----------------|---|-----------------|---------------------------------|--|-----------------------------|
| | <i>Consent</i> | <i>Source</i> | <i>License #</i> | <i>/ NAIC #</i> | <i>Order</i> <i>SS or CD</i> | <i>Civil Penalty</i> <i>Restitution</i> | |
| 22A-061-INS KEITH R. GINDLESPERGER | Consent Order | | Keith R. Gindlesperger 19290259 | / | 11/21/2022 | \$0.00 \$0.00 | 60-day Suspension |
| | No | Investigations | | | | | |
| 22A-064-INS FORTITUDE LIFE INSURANCE & ANNUITY COMPANY AND FORTITUDE U.S. REINSURANCE COMPAYNY | Acquisition | | Fortitude Life Insurance & Annuity Company / 86630 Fortitude U.S. Reinsurance Company / 90247 Greenview Investment Pte. Ltd. / | | 12/1/2022 | \$0.00 \$0.00 | Order Approving Acquisition |
| | No | FAD | | | | | |
| 22A-065-INS AMERICAN RELIABLE INSURANCE COMPANY BY EVERETT CASH MUTUAL INSURANCE COMPANY | Acquisition | | American Reliable Insurance Company / 19615 Everett Cash Mutual Insurance Company / 17043 | | 12/1/2022 | \$0.00 \$0.00 | Order Approving Acquisition |
| | No | FAD | | | | | |
| 22A-066-INS ACCREDITED SPECIALTY INSURANCE COMPANY BY BRICKELL PC INSURANCE HOLDINGS LLC | Acquisition | | Accredited Specialty Insurance Company / 16835 Brickell PC Insurance Holdings LLC, MTCP LL / | | 12/5/2022 | \$0.00 \$0.00 | Order Approving Acquisition |
| | No | FAD | | | | | |
| 22A-067-INS LIFETIME HOME WARRANTY LLC | Cease and Desist (Appealable Agency Action) | | Lifetime Home Warranty LLC / | | 12/7/2022 | \$0.00 \$0.00 | Order to Cease and Desist |
| | No | Investigations | | | | | |

Report Key: SS or CD: Summary Suspension or Cease and Desist

*For complete details on an Order - please request a paper copy from the Department of Insurance

Source Codes: CAD - Consumer Affairs Division; FAD - Financial Affairs Division; MOD - Market Conduct Exam; PC - Property and Casualty Division

| <i>Docket Number</i> <i>Matter Name</i> | <i>Type of Action</i> <i>Settled by</i> | | <i>Party Name</i> | | <i>Dates:</i> | <i>Fines:</i> | <i>Ordered*</i> |
|---|--|----------------|---|-----------------|---------------------------------|--|--|
| | <i>Consent</i> | <i>Source</i> | <i>License #</i> | <i>/ NAIC #</i> | <i>Order</i> <i>SS or CD</i> | <i>Civil Penalty</i> <i>Restitution</i> | |
| 22A-011-INS MARTIN, OLIVER JR. | Civil Money Penalty | | Oliver Martin 19881363 | / | 12/9/2022 | \$150.00 \$0.00 | Civil Money Penalty |
| | No | Investigations | | | | | |
| 22A-025-INS THOMME, SIMON B. AND UNI ADJUSTING GROUP, LLC | Revocation (Contested Case) | | Simon B. Thommen 18528875 | / | 12/23/2022 | \$0.00 \$0.00 | Simon B. Thommen's License Revoked UNI Adjusting Group, LLC's License Revoked Banned from Applying for a new license for 5 years |
| | No | Investigations | UNI Adjusting Group, LLC 18750283 | / | | | |
| 22A-073-INS STATE FARM MUTUAL AUTOMOBILE INSURANCE COMPANY & STATE FARM FIRE AND CASUALTY | Consent Order | | State Farm Mutual Automobile Insurance Com / 25178 | | 12/29/2022 | \$100,000.00 \$0.00 | Civil Money Penalty |
| | No | MOD | State Farm Fire and Casualty Company / 25143 | | | | |

Total Civil Penalty for Period \$121,400.00

Total Restitution for Period \$0.00

Report Key: SS or CD: Summary Suspension or Cease and Desist

*For complete details on an Order - please request a paper copy from the Department of Insurance

Source Codes: CAD - Consumer Affairs Division; FAD - Financial Affairs Division; MOD - Market Conduct Exam; PC - Property and Casualty Division