

OCT 21 2019

STATE OF ARIZONA

DEPT OF INSURANCE

DEPARTMENT OF INSURANCE BY AS 10/21/2019

In the Matter of:

TENNISON, BRADLEY JOSEPH
(National Producer Number 1435231)

No. 19A-152-INS

CONSENT ORDER

Respondent.

The State of Arizona Department of Insurance ("Department") has received evidence that **Bradley Joseph Tennison** ("Tennison" or "Respondent") violated provisions of Title 20, Arizona Revised Statutes ("A.R.S."). Respondent wishes to resolve this matter without the commencement of formal proceedings, and admits the following Findings of Fact are true and consents to entry of the following Conclusions of Law and Order.

FINDINGS OF FACT

1. Tennison was, at all material times, licensed as an Arizona resident life, accident and health or sickness, and variable life and variable annuity insurance producer. The Department renewed Tennison license, number 1435231, effective May 1, 2017; the license is scheduled to expire on April 30, 2021.

2. Tennison's addresses of record are: 40 N. Center # 106 Mesa, Arizona 85201 (business and mailing); brad@tennisonfinancial.com (business email).

Failure to Report

3. On or about August 28, 2019, Geneos Wealth Management Inc. ("Geneos") notified the Department they terminated Tennison for cause on or about August 2018.

1 4. Geneos, advised the Department that Tennison entered into a "Letter of
2 Acceptance, Waiver and Consent" ("Consent") with the Financial Industry Regulatory Authority
3 ("FINRA").

4 5. On or about June 25, 2018, Tennison signed a Consent barring or suspending
5 him from associating with any FINRA member. The disbarment is for an indefinite period of
6 time.

7 6. Tennison failed to notify the Director within thirty days of this administrative action.

8 **Change of Address**

9 7. Tennison also failed to notify the Director of a change of address within thirty days.

10 **CONCLUSIONS OF LAW**

11 1. The Director has jurisdiction over this matter.

12 2. Tennison's conduct, as described above, constitutes a violation of Title 20, within
13 the meaning of A.R.S. § 20-295(A)(2).

14 3. Tennison's conduct, as described above, constitutes failing to notify the Director
15 within thirty days upon final disposition of any administrative action taken by another jurisdiction
16 or by another governmental agency in this state, within the meaning of A.R.S. § 20-301(A).

17 4. Tennison's conduct, as described above, constitutes failing to notify the Director
18 within thirty days of any change of address, within the meaning of A.R.S. § 20-286(C)(1).

19 5. Grounds exist for the Director to deny, suspend for not more than twelve months,
20 revoke, or refuse to renew an insurance producer's license pursuant to A.R.S. § 20-295(A).

21 6. Grounds exist, in addition to or instead of any suspension, revocation or refusal
22 to renew a license, for the Director to impose a civil penalty of not more than \$250.00 for each
23 unintentional failure or violation, up to an aggregate civil penalty of \$2,500.00, or impose a civil
24

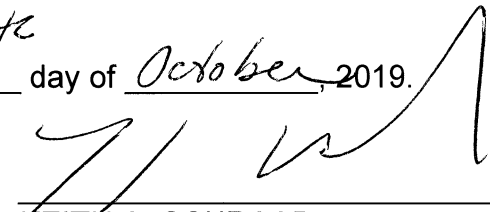
1 penalty of not more than \$2,500.00 for each intentional failure or violation, up to an aggregate
2 penalty of \$15,000.00, or order the licensee to provide restitution to any party injured by the
3 licensee's action, pursuant to A.R.S. § 20-295(F).

4 **ORDER**

5 IT IS HEREBY ORDERED THAT:

6 1. The insurance producer license of **Bradley Joseph Tennison**, National Producer
7 Number 1435231, is revoked, effective immediately.

8
9 DATED AND EFFECTIVE this 17th day of October, 2019.

10
11 
12 _____
13 KEITH A. SCHRAAD
14 Director of Insurance

13 **CONSENT TO ORDER**

14 1. Respondent has reviewed the foregoing Findings of Fact, Conclusions of Law and
15 Order.

16 2. Respondent admits the jurisdiction of the Director of Insurance, State of Arizona,
17 and admits the foregoing Findings of Fact and consents to the entry of the foregoing
18 Conclusions of Law and Order.

19 3. Respondent is aware of his right to notice and to a hearing, at which he may be
20 represented by counsel, present evidence and examine witnesses. Respondent irrevocably
21 waives his right to such notice and hearing and to any court appeals relating to this Consent
22 Order.

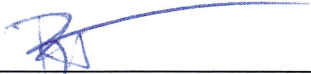
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1 4. Respondent states that no promise of any kind or nature whatsoever, except as
2 expressly contained in this Consent Order, was made to him to induce him to enter into this
3 Consent Order and that he has entered into this Consent Order voluntarily.

4 5. Respondent acknowledges that the acceptance of this Consent Order by the
5 Director is solely to settle this matter against him and does not preclude any other agency,
6 officer, or subdivision of this state including the Department from instituting civil or criminal
7 proceedings as may be appropriate now or in the future.

8 6. Respondent acknowledges that this Consent Order is an administrative action the
9 Department will report to the National Association of Insurance Commissioners ("NAIC") and
10 that he may have to report this administrative action on any future licensing applications either
11 to the Department or other states' Departments of Insurance.

12 10-9-19 
13 Date Bradley Joseph Tennison, (NPN License No. 1435231)

14 **COPY** of the foregoing mailed this
15 22nd day of October, 2019, to:

16 Bradley Joseph Tennison
17 2851 E. Camellia DR
18 Gilbert, AZ 85296-7802
19 Respondent

20 Bradley Joseph Tennison
21 c/o Tennison Investments, LLC
22 40 N Center St. Ste.106
23 Mesa, AZ 85201-7300
24 Respondent

Bradley Joseph Tennison
c/o Geneos Wealth Management
40 N Center #106
Mesa, AZ 85201
Respondent

1 **COPY** of the foregoing delivered, same date, to:

2 Mary Kosinski, Regulatory Legal Affairs Officer
3 Ana Starcevic, Paralegal Project Specialist
4 Catherine M. O'Neil, Consumer Legal Affairs Officer
5 Steven Fromholtz, Assistant Director, Consumer Protection Division
6 Sharyn Kerr, Administrative Assistant
7 Aqueelah Currie, Licensing Supervisor
8 Alexis Ortiz, Investigator
9 Arizona Department of Insurance
10 100 N. 15th Avenue, Suite 102
11 Phoenix, Arizona 85007-2624

12 **COPY** sent same date via electronic mail to:

13 Bradley Joseph Tennison
14 brad@tennisonfinancial.com
15 Respondent

16  for
17 Francine Martinez
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