

OCT 23 2018

STATE OF ARIZONA

DEPARTMENT OF INSURANCE

DEPT OF INSURANCE
BY MEK

In the Matter of:

VAZIRANI, ANIL
(National Producer Number 3293348)

No. 18A-135-INS

CONSENT ORDER

Respondent.

The State of Arizona Department of Insurance ("Department") has received evidence that **Anil Vazirani** violated provisions of Title 20, Arizona Revised Statutes. Respondent wishes to resolve this matter without the commencement of formal proceedings, and admits the following Findings of Fact are true and consents to entry of the following Conclusions of Law and Order.

FINDINGS OF FACT

1. Anil Vazirani ("Vazirani" or "Respondent") is, and was at all material times, licensed as an Arizona resident insurance producer with lines of authority in accident and health or sickness and life, National Producer Number 3293348, which expires August 31, 2020. Vazirani first became licensed with the Department on November 26, 2002.

2. Vazirani's address of record with the Department is: 14301 N. 87th St., Ste. 216, Scottsdale, Arizona 85260-3690 (business and mailing) and VAZIRANI1968@GMAIL.COM (business e-mail).

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1 **STATE OF CALIFORNIA ACTION**

2 3. On or about November 5, 2014, the Insurance Commissioner of the State of
3 California filed an Order of Summary Suspension, In the Matter of the License and Licensing
4 Rights of Anil Vazirani, File No. LCB 1687-A ("California Suspension Order").

5 4. The California Suspension Order suspended Vazirani's restricted license and
6 licensing rights for a period of seven days.

7 5. Vazirani failed to report the California Suspension Order to the Department in
8 writing within 30 days after the final disposition of the matter.

9 **NEW YORK STATE DEPARTMENT OF FINANCIAL SERVICES ACTION**

10 6. On or about June 16, 2015, the New York State Department of Financial
11 Services filed a Stipulation against Anil B. Vazirani ("NY Stipulation").

12 7. The NY Stipulation fined Vazirani in the amount of \$500.

13 8. Vazirani failed to report the NY Stipulation to the Department in writing within 30
14 days after the final disposition of the matter.

15 **FLORIDA DEPARTMENT OF FINANCIAL SERVICES ACTION**

16 9. On or about February 24, 2015, the Florida Department of Financial Services
17 filed a Consent Order In the Matter of Anil Vazirani, Case number 167547-15-AG ("Florida
18 Consent Order").

19 10. The Florida Consent Order incorporated and approved the Settlement Stipulation
20 for Consent Order dated January 20, 2015 and ordered Vazirani to pay an administrative
21 penalty of \$1500 within 30 calendar days of the entry of the Florida Consent Order.

22 11. Vazirani failed to report the Florida Consent Order to the Department in writing
23 within 30 days after the final disposition of the matter.

1 12. While Vazirani attempted in good faith to report all of the regulatory actions
2 identified in paragraphs 3, 6 and 9 of this Consent Order to the Department, they were not
3 effectively reported to the Department in writing within 30 days after the final disposition of
4 each respective matter. As such, Vazirani's failure to timely report these regulatory actions
5 was not intentional.

6 **CONCLUSIONS OF LAW**

7 1. The Interim Director has jurisdiction over this matter.

8 2. Respondent's conduct, as described above, constitutes failure to report, within
9 30 days after the final disposition of the matter, any administrative action taken against the
10 producer in another jurisdiction or by another government agency in this state, within the
11 meaning of A.R.S. § 20-301(A).

12 3. Respondent's conduct, as described above, constitutes a violation of any
13 provision of Title 20 or any rule, subpoena or order of the Director, within the meaning of
14 A.R.S. § 20-295(A)(2).

15 4. Respondent's conduct, as described above, constitutes having an insurance
16 producer license, or its equivalent, denied, suspended or revoked in any state, province,
17 district or territory, within the meaning of A.R.S. § 20-295(A)(9).

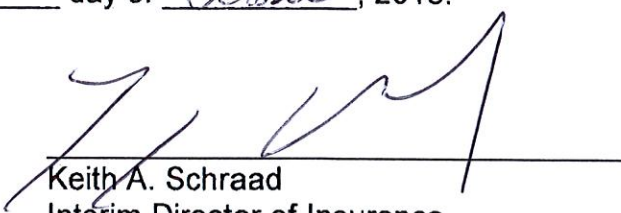
18 5. Grounds exist for the Director to impose a civil penalty of not more than two
19 hundred fifty dollars for each unintentional failure or violation, up to an aggregate civil penalty
20 of two thousand five hundred dollars or impose a civil penalty of not more than two thousand
21 five hundred dollars for each intentional failure or violation, up to an aggregate civil penalty of
22 fifteen thousand dollars, within the meaning of A.R.S. § 20-295(F).
23 . . .

1 **ORDER**

2 IT IS HEREBY ORDERED THAT:

3 1. Respondent shall immediately pay a civil money penalty in the amount of \$750
4 for deposit into the State General Fund.

5 DATED AND EFFECTIVE this 23rd day of October, 2018.

6
7 
8 Keith A. Schraad
Interim Director of Insurance

9 **CONSENT TO ORDER**

10 1. Respondent has reviewed the foregoing Findings of Fact, Conclusions of Law
11 and Order.

12 2. Respondent admits the jurisdiction of the Interim Director of Insurance, State of
13 Arizona, and admits the foregoing Findings of Fact and consents to the entry of the foregoing
14 Conclusions of Law and Order.

15 3. Respondent is aware of his right to notice and a hearing at which he may be
16 represented by counsel, present evidence and examine witnesses. Respondent irrevocably
17 waives his right to such notice and hearing and to any court appeals relating to this Consent
18 Order.

19 4. Respondent states that no promise of any kind or nature whatsoever, except as
20 expressly contained in this Consent Order, was made to him to induce him to enter into this
21 Consent Order and that he has entered into this Consent Order voluntarily.

22 5. Respondent acknowledges that the acceptance of this Consent Order by the
23 Interim Director is solely to settle this matter against him and does not preclude any other

1 agency, including the Department, officer, or subdivision of this state or this agency from
2 instituting civil or criminal proceedings as may be appropriate now or in the future.

3 6. Respondent acknowledges that this Consent Order is an administrative action
4 the Department will report to the National Association of Insurance Commissioners (NAIC).
5 Respondent further acknowledges that he must report this administrative action to
6 any and all states in which he holds an insurance license and must disclose this administrative
7 action on any license application.

8 10/11/18



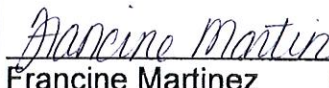
9 Date

Anil Vazirani, National Producer No. 3293348

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11 COPIES of the foregoing mailed/delivered
this 24th day of October, 2018, to:

12 David G. Bray
13 Dickenson Wright PLLC
1850 N. Central Ave., Ste. 1400
14 Phoenix, Arizona 85004-4568
Attorney for Respondent

15 Mary E. Kosinski, Regulatory Legal Affairs Officer
16 Catherine M. O'Neil, Consumer Legal Affairs Officer
17 Steven Fromholtz, Assistant Director – Consumer Affairs Division
Aqueelah Currie, Licensing Manager
18 Sharyn Kerr, Consumer Affairs Division
Department of Insurance
2910 North 44th Street, Suite 210
19 Phoenix, Arizona 85018

20 
Francine Martinez
21 PHOENIX 53759-27 499479v1