

SEP 17 2018

DEPT OF INSURANCE
BY MEK

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24

STATE OF ARIZONA

DEPARTMENT OF INSURANCE

In the Matter of:

Docket No. 18A-094-INS

HUMPHREYS, SYDNEY WEBSTER
(National Producer Number 18716326)

CONSENT ORDER

Respondent.

The State of Arizona Department of Insurance ("Department") has received evidence that **Sydney Webster Humphreys** has violated provisions of Title 20, Arizona Revised Statutes ("A.R.S."). Respondent wishes to resolve this matter without the commencement of formal proceedings, and admits the following Findings of Fact are true and consents to entry of the following Conclusions of Law and Order.

FINDINGS OF FACT

1. Sydney Webster Humphreys ("Respondent"), is and was at all material times licensed as a resident insurance producer with a lines of authority in life and accident and health or sickness, National Producer Number 18716326, which expires December 31, 2020.
2. Respondent's addresses of record with the Department are: 16185 N. 83rd Ave., Peoria, AZ 85338 (business) and 9942 W. Raymond St., Tolleson, AZ 85353 (mailing).
3. On or about November 21, 2017, the Department issued to Respondent a license as an insurance producer, National Producer Number 18716326.
4. On March 16, 2018, the Department notified Respondent by mail at her mailing address of record that her fingerprint card had been processed and returned by the Arizona Department of Public Safety (DPS) as illegible. The Department requested a replacement set

1 of fingerprints along with a completed "Illegible Fingerprint Replacement Form" on or before
2 April 6, 2018. Respondent did not reply to the Department's request.

3 5. On April 17, 2018, the Department notified Respondent a second time by mail at
4 her business address of record that her fingerprints had been returned by DPS as illegible and
5 that the Department was about to initiate an administrative action against her license for failure
6 to comply with the fingerprint requirement. The Department requested a response by May 4,
7 2018.

8 6. To date, Respondent has not submitted a full set of fingerprints to the
9 Department.

10 CONCLUSIONS OF LAW

11 1. The Interim Director has jurisdiction over this matter.

12 2. Respondent's conduct as described above constitutes the violation of the
13 requirement that an applicant submit a full set of fingerprints to the Department within the
14 meaning of A.R.S. § 20-285(E)(2).

15 3. Respondent's conduct as described above constitutes providing incomplete
16 information in the license application within the meaning of A.R.S. § 20-295(A)(1).

17 4. Respondent's conduct as described above constitutes the violation of any
18 provision of A.R.S. Title 20 or any rule, subpoena or order of the director within the meaning of
19 A.R.S. § 20-295(A)(2).

20 5. Grounds exist for the Interim Director to suspend, revoke, or refuse to renew
21 Respondent's insurance license, impose a civil penalty and/or order restitution pursuant to
22 A.R.S. §§ 20-295(A) and (F).

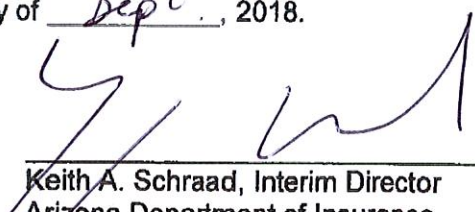
23

1 ORDER

2 IT IS HEREBY ORDERED THAT:

3 1. Respondent's resident insurance producer license, National Producer Number
4 18716326, is revoked effective immediately.

5 DATED AND EFFECTIVE this 17th day of Sept., 2018.

6
7
8 
9 Keith A. Schraad, Interim Director
Arizona Department of Insurance

10 CONSENT TO ORDER

11 1. Respondent has reviewed the foregoing Findings of Fact, Conclusions of Law
12 and Order.

13 2. Respondent admits to the jurisdiction of the Interim Director of Insurance, State
14 of Arizona, and admits the foregoing Findings of Fact and consents to the entry of the
15 foregoing Conclusions of Law and Order.

16 3. Respondent is aware of her right to notice and a hearing at which she may be
17 represented by counsel, present evidence and examine witnesses. Respondent irrevocably
18 waives her right to such notice and hearing and to any court appeals relating to this Consent
19 Order.

20 4. Respondent states that no promise of any kind or nature whatsoever, except as
21 expressly contained in this Consent Order, was made to her to induce her to enter into this
22 Consent Order and that she has entered into this Consent Order voluntarily.

23 5. Respondent acknowledges that the acceptance of this Consent Order by the
24 Interim Director is solely to settle this matter against her and does not preclude any other

1 agency, officer, or subdivision of this state including the Department from instituting civil or
2 criminal proceedings as may be appropriate now or in the future not related to this matter.

3 6. Respondent acknowledges that this Consent Order is an administrative action
4 that the Department will report to the National Association of Insurance Commissioners
5 (NAIC). Respondent further acknowledges that she must report this administrative action to
6 any and all states in which she holds an insurance license and must disclose this
7 administrative action on any license application.

8 9/16/18

9 Date



Sydney Webster Humphreys, National Producer # 1816326

10 COPIES of the foregoing mailed/delivered
11 this 21st day of September, 2018, to:

12 Sydney Webster Humphreys
13 9942 W. Raymond St.
14 Tolleson, AZ 85353
15 Respondent

16 Mary Kosinski, Regulatory Legal Affairs Officer
17 Steven Fromholtz, Assistant Director for Consumer Protection
18 Aqueelah Currie, Licensing Supervisor
19 Arizona Department of Insurance
20 2910 North 44th Street, Suite 210
21 Phoenix, Arizona 85018

22 