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STATE OF ARIZONA

DEPARTMENT OF INSURANCE

STATE OF ARIZONA  
FILED

APR 1 2015

DEPT OF INSURANCE  
BY MS

In the Matter of:

**PICKERING, TRICIA RENE**  
(Arizona License Number 147487)  
(National Producer Number 7596145)

No. 15A-028-INS

**CONSENT ORDER**

**Respondent.**

The State of Arizona Department of Insurance ("Department") has received evidence that **Tricia Rene Pickering** violated provisions of Title 20, Arizona Revised Statutes ("A.R.S."). Respondent wishes to resolve this matter without the commencement of formal proceedings, and admits the following Findings of Fact are true and consents to entry of the following Conclusions of Law and Order.

**FINDINGS OF FACT**

1. Tricia Rene Pickering ("Ms. Pickering" or "Respondent") is, and was at all material times, licensed as an Arizona resident accident/health, life and variable life/variable annuities producer, Arizona license number 147487, which expires May 31, 2016.
2. Ms. Pickering's addresses of record are: c/o Edward Jones, 10451 W. Palmeras Drive, Suite 102-E, Sun City, AZ 85373 (business and mailing).
3. On or about June 3, 2014, Robert and Carolyn Fitzsimmons ("Fitzsimmons") submitted a Request for Assistance Form to the Department ("Fitzsimmons' Complaint"). The Fitzsimmons' Complaint alleged that Ms. Pickering misrepresented and sold them an unsuitable annuity.



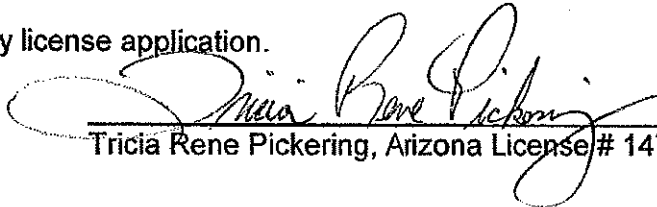


1           4.     Respondent states that no promise of any kind or nature whatsoever, except as  
2 expressly contained in this Consent Order, was made to her to induce her to enter into this  
3 Consent Order and that she has entered into this Consent Order voluntarily.

4           5.     Respondent acknowledges that the acceptance of this Consent Order by the  
5 Director is solely to settle this matter against her and does not preclude any other agency,  
6 including the Department, officer, or subdivision of this state or this agency from instituting civil  
7 or criminal proceedings as may be appropriate now or in the future.

8           6.     Respondent acknowledges that this Consent Order is an administrative action  
9 that the Department will report to the National Association of Insurance Commissioners  
10 (NAIC). Respondent further acknowledges that she must report this administrative action to  
11 any and all states in which she holds an insurance license and must disclose this  
12 administrative action on any license application.


13     3/18/2015  
Date

  
Tricia Rene Pickering, Arizona License # 147487

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18     COPIES of the foregoing mailed/delivered  
19 this 1st day of April, 2015, to:

20     Lewis Roca Rothgerger LLP  
21     Gregory Y. Harris  
22     Jesse B. Simpson  
23     201 E. Washington St., Suite 1200  
Phoenix, AZ 85004  
Attorneys for Respondent

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7 Maidene Scheiner

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