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STATE OF ARIZONA DEPARTMENT OF INSURANCE

DEPT OF INSURANCE BY

In the Matter of:

NIEMSYK, GREG DOUGLAS (Arizona License #1036852) (National Producer # 16859248)

Respondent.

No. 13A-150-INS

PROPOSED FINDINGS OF FACT, CONCLUSIONS OF LAW AND ORDER

On April 17, 2014, the Arizona Department of Insurance ("Department") issued a Notice of Hearing ("Notice") in the above-captioned matter, a copy of which is attached as Exhibit A and incorporated by this reference. The Notice required **Greg Douglas Niemsyk** ("Niemsyk" or "Respondent") to provide a written answer to the allegations set forth in the Notice within twenty days of the issuance of the Notice. As of this date, Respondent has failed to file an answer. On May 15, 2014, counsel for the Department filed a Request for Default and Proposed Findings of Fact, Conclusions of Law and Order. As of this date, Respondent has not responded to the Department's request. Pursuant to A.A.C.R20-6-106(D), a party that fails to file an answer within the time provided shall be deemed to be in default and one or more of the allegations in the Notice of Hearing may be deemed to be admitted.

FINDINGS OF FACT

- 1. Notice was proper.
- 2. Respondent is in default.
- 3. The allegations in the Notice are deemed admitted.

CONCLUSIONS OF LAW 1 The conduct alleged in the Notice constitutes grounds for the Director to 1. 2 suspend, revoke or refuse to renew Respondent's license to transact insurance in Arizona, 3 pursuant to A.R.S. §20-295(A). 4 5 **ORDER** 6 IT IS ORDERED: 7 The insurance license held by Respondent is revoked effective upon the 1. 8 issuance of this Order. 9 2. The hearing set for May 27, 2014, at 1:00 p.m. shall be vacated. 10 DATED this _____day of May, 2014. 11 12 GERMAINE MARKS, Director 13 Arizona Department of Insurance 14 15 16 COPY of the foregoing electronically filed this 22nd day of May, 2014 to: 17 Sondra Vanella, Administrative Law Judge 18 Office of Administrative Hearings 19 **COPY** of the foregoing delivered same date to: 20 Darren Ellingson, Deputy Director Mary Kosinski, Executive Assistant for Regulatory Affairs 21 Catherine M. O'Neil, Consumer Legal Affairs Officer 22 Steven Fromholtz, Licensing Supervisor Shelby L. Cuevas, Deputy Receiver 23 Department of Insurance 2910 North 44th Street, Suite 210 24 Phoenix, Arizona 85018 25

COPY of the foregoing emailed same date to:

| 1 | Liane Kido | | | | |
|----|--|--|--|--|--|
| 2 | <u>Liane.Kido@azag.gov</u> and <u>Teresa.Carranza@azag.gov</u> Attorney for the Arizona Department of Insurance | | | | |
| 3 | COPY mailed same date to: | | | | |
| 4 | Greg D. Niemsyk | | | | |
| 5 | 3447 E. Glenn Street | | | | |
| 6 | Tucson, Arizona 85716 Respondent | | | | |
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APR 17 2014

DEPARTMENT OF INSURANCE

STATE OF ARIZONA

DEPT CT INSURANCE

In the Matter of the Insurance License of:

NIEMSYK, GREG DOUGLAS (Arizona License #1036852) (National Producer # 16859248) Docket No. 13A-150-INS

NOTICE OF HEARING

(Administrative Law Judge Sondra Vanella)

STATE'S EXHIBIT

Respondent.

PLEASE TAKE NOTICE that the above-captioned matter will be heard before the Director of Insurance of the State of Arizona (the "Director") or her duly designated representative on May 27, 2014, at 9:00 a.m., at the Office of Administrative Hearings, 1400 West Washington, Suite 101, Phoenix, Arizona 850071.

If you wish to continue this hearing to another date, you must file a motion in writing with the Office of Administrative Hearings not less than 15 days before the scheduled hearing date. Please send it to the attention of the Administrative Law Judge ("ALJ") and include the docket number listed above. You must also mail or hand-deliver a copy of any motion to continue to the Department of Insurance on the same date you file it with the Office of Administrative Hearings.

You are not required to have an attorney represent you. However, if you are represented, your attorney must be licensed to practice law in the State of Arizona. An insurance company may be represented by a corporate officer. A.R.S. § 20-161(B).

You are entitled to be present during the giving of all evidence and you will have a reasonable opportunity to inspect all documentary evidence, examine witnesses, present evidence that supports your case and to request that the ALJ issue subpoenas to compel the attendance of witnesses and production of evidence. A.R.S. § 20-164(B).

¹As authorized under Arizona Revised Statutes ("A.R.S.") §§ 20-161 through and including 20-165 and Title 41, Chapter 6, Article 10 (A.R.S. § 41-1092 et seq.).

A clear and accurate record of the proceedings will be made either by a court reporter or by electronic means. A.R.S. § 41-1092.07(E). If you want a copy of an electronic recording, you must contact the Office of Administrative Hearings at (602) 542-9826. If the hearing was transcribed by a court reporter and you want a copy of the transcript, you must pay the cost of the transcript to the court reporter or other transcriber.

Questions concerning issues raised in this Notice of Hearing should be directed to Assistant Attorney General Liane C. Kido, telephone number (602) 542-8011, 1275 West Washington Street, Phoenix, Arizona 85007-2926,

NOTICE OF APPLICABLE RULES

On January 23, 1992, we adopted the rules of practice and procedure applicable in contested cases before the Director of Insurance. The hearing will be conducted pursuant to these rules. A.A.C. R20-6-101 through R20-6-115.

YOU MUST FILE A WRITTEN RESPONSE (ANSWER) TO THE ALLEGATIONS IN THIS NOTICE WITH US WITHIN 20 DAYS AFTER WE ISSUE THIS NOTICE. A.A.C. R20-6-106. YOUR RESPONSE SHOULD STATE YOUR POSITION OR DEFENSE AND SHOULD SPECIFICALLY ADMIT OR DENY EACH ASSERTION IN THE NOTICE. IF YOU DO NOT SPECIFICALLY DENY AN ASSERTION, WE WILL CONSIDER IT ADMITTED. ANY DEFENSE YOU DO NOT RAISE WILL BE CONSIDERED WAIVED.

IF YOU DO NOT FILE YOUR RESPONSE ON TIME, WE WILL CONSIDER YOU IN DEFAULT AND THE DIRECTOR MAY DEEM THE ALLEGATIONS IN THE NOTICE AS TRUE. ACCORDINGLY, WE WILL TAKE WHATEVER ACTION IS APPROPRIATE INCLUDING SUSPENSION, REVOCATION, IMPOSITION OF A CIVIL PENALTY AND ORDERING RESTITUTION TO ANY INJURED PERSON.

PERSONS WITH DISABILITIES

PERSONS WITH DISABILITIES MAY REQUEST REASONABLE

ACCOMMODATIONS SUCH AS INTERPRETERS, ALTERNATIVE FORMATS, OR

ASSISTANCE WITH PHYSICAL ACCESSIBILITY. REQUESTS FOR ACCOMMODATIONS

SHOULD BE MADE AS EARLY AS POSSIBLE TO ALLOW TIME TO ARRANGE THE

ACCOMMODATIONS. IF YOU REQUIRE ACCOMMODATIONS, PLEASE CONTACT THE OFFICE OF ADMINISTRATIVE HEARINGS AT (602) 542-9826.

The allegations supporting this Notice of Hearing are as follows:

- 1. Greg Douglas Niemsyk ("Respondent") is, and was at all material times licensed as a resident personal lines (P&C) producer, Arizona license number 1036852, which expires April 30, 2016.
- 2. Respondent's mailing, business, and residence addresses of record with the Department are: 3447 E. Glenn Street, Tucson, Arizona 85716-2248.
- 3. On or about January 2, 2013, the Department issued to Respondent an insurance license as a resident personal lines (P&C) producer, Arizona license number 1036852.
- 4. On April 24, 2013, the Department notified Respondent by mail at his address of record that his fingerprint card had been processed and returned by the Arizona Department of Public Safety (DPS) as illegible. The Department requested a replacement set of fingerprints along with a completed "Illegible Fingerprint Replacement Form" on or before May 22, 2013. The Respondent did not reply to the Department's request.
- 5. On August 14, 2013, the Department notified Respondent a second time by mail at his address of record that it was about to initiate an administrative action against his license for failure to comply with the fingerprint requirement. The Department requested a response by August 30, 2013.
- 6. To date, Respondent has not submitted a full set of fingerprints to the Department.

VIOLATIONS

- 7. Respondent's conduct as described above constitutes the violation of the requirement that an applicant submit a full set of fingerprints to the Department within the meaning of A.R.S. § 20-285(E)(2).
- 8. Respondent's conduct as described above constitutes providing incomplete information in the license application within the meaning of A.R.S. § 20-295(A)(1).

- 9. Respondent's conduct as described above constitutes the violation of any provision of A.R.S. Title 20 or any rule, subpoena or order of the director within the meaning of A.R.S.§ 20-295(A)(2).
- 10. Grounds exist for the Director to suspend, revoke, or refuse to renew Respondent's insurance license, impose a civil penalty and/or order restitution pursuant to A.R.S. §§ 20-295(A) and (F).

WHEREFORE, if after hearing, the Director finds the grounds alleged above, the Director may deny, suspend, or revoke Respondent's insurance producer's license and impose a civil penalty. A.R.S. § 20-295(A) and (F).

The Director delegates the authority vested in her to the Director of the Office of Administrative Hearings or his designee to preside over the hearing of this matter as the Administrative Law Judge, to make written recommendations to the Director consisting of proposed findings of fact, proposed conclusions of law, and a proposed order. This delegation does not include delegation of the authority of the Director to make an order on the hearing or any other final decision in this matter. A.R.S. § 20-150.

Pursuant to A.R.S. § 41-1092.01, your hearing will be conducted through the Office of Administrative Hearings, an independent agency. Further hearing information may be found at the Office of Administrative Hearings website:

DATED this 16th day of April, 2014.

Darren Ellingson, Deputy Director Arizona Department of Insurance

COPY of the foregoing delivered this __17th day of April __, 2014 to:

Darren Ellingson, Deputy Director
Mary Kosinski, Executive Assistant for Regulatory Affairs
Steve Fromholtz, Licensing Supervisor
Arizona Department of Insurance
2910 North 44th Street, Suite 210
Phoenix, Arizona 85018

| 1. | COPY mailed same date by Regular Mail and Certified Mail, Return Receipt Requested, to: |
|----|---|
| 2 | |
| 3 | Greg D. Niemsyk 3447 E. Glenn Street Tucson, Arizona 85716 |
| 4 | Respondent |
| 5 | E-FILE of the foregoing delivered electronically this day of, 2014, to: |
| 6 | |
| _ | ALJ Sondra Vanella |
| 7 | Office of Administrative Hearings |
| 8 | COPY sent same date via electronic mail to: |
| 9 | Liane Kido Assistant Attorney General |
| 10 | and |
| | Attorney for the Department of Insurance |
| 11 | |
| 12 | Curvey Watters |
| 13 | 3783167 |
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Sender: Please print your name, address, and ZIP+4 in this box

State Department of Insurance Suite 210 2910 North 44th Street Phoenix, AZ 85018-7256

Re: Greg D. Niemsyk Docket No. 13A-150-INS

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