

AUG 2 2013

DEPT OF INSURANCE
BY 

STATE OF ARIZONA

DEPARTMENT OF INSURANCE

In the Matter of:

CONWAY, JEFFREY THOMAS
(Arizona License Number 1012816)
(National Producer Number 69927)

No. 13A-095-INS

CONSENT ORDER

Respondent.

The State of Arizona Department of Insurance ("Department") has received evidence that **Jeffrey Thomas Conway** violated provisions of Title 20, Arizona Revised Statutes. Respondent wishes to resolve this matter without the commencement of formal proceedings, and admits the following Findings of Fact are true and consents to entry of the following Conclusions of Law and Order.

FINDINGS OF FACT

1. Jeffrey Thomas Conway ("Conway" or "Respondent") is, and was at all material times, licensed as an Arizona resident life insurance producer, Arizona license number 1012816, which expires December 31, 2015.
2. Conway's address of record is: 2848 E. Brown Rd., #7, Mesa, Arizona 85213 (business, mailing and residence).
3. On or about March 31, 2012, Conway forged the signature of Joan M. Starace ("Starace") to withdraw an Required Minimum Distribution (RMD) for the amount of \$13,894.66, check number 487151 from Aviva. As a result, Starace incurred Federal and State taxes totaling \$3,561.00.

1 4. After Starace filed a Complaint with the Department and the Department
2 initiated an investigation, Respondent returned the \$3,561.00 in tax penalties to Starace¹.

3 **CONCLUSIONS OF LAW**

4 1. The Director has jurisdiction over this matter.

5 2. Respondent's conduct, as described above, constitutes a violation of Title 20,
6 within the meaning of A.R.S. § 20-295(A)(2).

7 3. Respondent's conduct, as described above, constitutes using fraudulent,
8 dishonest practices, or untrustworthiness in the conduct of business in this state, within the
9 meaning of A.R.S. § 20-295(A)(8).

10 4. Respondent's conduct as described above, constitutes forging another's name to
11 any document related to an insurance transaction, within the meaning of A.R.S. § 20-295
12 (A)(10).

13 5. Grounds exist for the Director to deny, suspend for not more than twelve months,
14 revoke, or refuse to renew an insurance producer's license, impose a civil penalty and/or order
15 restitution pursuant to A.R.S. §§20-295(A) and (F).

16 **ORDER**


17 IT IS HEREBY ORDERED THAT:

18 1. Respondents' resident license (Arizona license # 1012816) is suspended for a
19 period of six (6) calendar months effective August 1, 2013 through February 1, 2014.
20
21
22

23 ¹ This amount represents the combined total for the Federal and State tax penalties incurred
by Starace as a result of the forged signature on the RMD.

1 6. Respondent acknowledges that this Consent Order is an administrative action
2 that the Department will report to the National Association of Insurance Commissioners
3 (NAIC). Respondent further acknowledges that he must report this administrative action to
4 any and all states in which he holds an insurance license and must disclose this administrative
5 action on any license application.

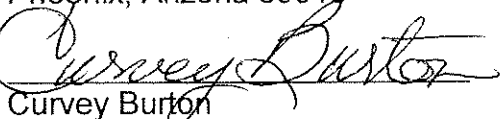
6
7 08-01-2013
Date


Jeffrey Thomas Conway, Arizona License # 1012816

8
9 COPIES of the foregoing mailed/delivered
this 2nd day of August, 2013, to:

10 Jeffrey Thomas Conway
11 2848 E. Brown Rd. #7
12 Mesa, AZ 85213
Respondent

13 Mary E. Kosinski, Executive Assistant for Regulatory Affairs
14 Darren T. Ellingson, Deputy Director
15 Mary Butterfield, Assistant Director, Consumer Affairs Division
16 Catherine M. O'Neil, Consumer Legal Affairs Officer
17 Steven Fromholtz, Licensing Supervisor
18 Charles Gregory, Supervisor Investigations Division
19 Nicolas Lopez, Investigator
20 Department of Insurance
21 2910 North 44th Street, Suite 210
22 Phoenix, Arizona 85018

23

Curvey Burton