STATE OF ARIZONA FILED

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STATE OF ARIZONA

DEPARTMENT OF INSURANCE

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In the Matter of:)
MAU, ERIC (Arizona License Number 997006) (National Producer Number 16326630) No. 12A-107 - INS)))
Respondent.) CONSENT ORDER))

The State of Arizona Department of Insurance ("Department") has received evidence that **Eric Mau ("Respondent")** violated provisions of Title 20, Arizona Revised Statutes. Respondent wishes to resolve this matter without the commencement of formal proceedings, and admits the following Findings of Fact are true and consents to entry of the following Conclusions of Law and Order.

FINDINGS OF FACT

- 1. Respondent is, and was at all material times, licensed as an Arizona resident accident/health, life, casualty, and property insurance producer, Arizona license number 997006, which expires on August 31, 2015.
- Respondent's addresses of record are: c/o Farmers Insurance, 7420 E. Pinnacle
 Peak Rd., Suite 120, Scottsdale, AZ 85255 (business/mailing) and 17212 N. Scottsdale Rd.,
 #1018, Scottsdale, AZ 85255 (residence).
- Respondent was at all material times contracted with Farmers Insurance
 Company ("Farmers") to transact insurance on its behalf in Arizona.
- 4. On March 16, 2012, Respondent submitted three (3) fictitious policy applications for auto, home, and life insurance in the name of Kimberly Freeman to Farmers.

5. Respondent forged the signature of Kimberly Freeman on all three (3) policy applications.

CONCLUSIONS OF LAW

- 1. The Director has jurisdiction over this matter.
- Respondent's conduct as described above constitutes using fraudulent, coercive 2. or dishonest practices, or demonstrating incompetence, untrustworthiness or financial irresponsibility in the conduct of business in this state or elsewhere within the meaning of A.R.S.§20-295(A)(8).
- 3. Respondent's conduct as described above constitutes forging another's name to any document related to an insurance transaction within the meaning of A.R.S.§20-295(A)(10).
- 4. Grounds exist for the Director to suspend, revoke, or refuse to renew Respondent's insurance license and/or order restitution pursuant to A.R.S. §§20-295(A) and (F).
- 5. Grounds exist for the Director to, in addition to or instead of any suspension, revocation or refusal to renew a license, impose a civil penalty of not more than two hundred fifty dollars for each unintentional failure or violation, up to an aggregate civil penalty of two thousand five hundred dollars, and impose a civil penalty of not more than two thousand five hundred dollars for each intentional failure or violation, up to an aggregate civil penalty of fifteen thousand dollars pursuant to A.R.S. § 20-295(F).

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ORDER

IT IS HEREBY ORDERED THAT:

Respondent shall pay a civil penalty in the amount of \$750.00 immediately upon entry of this Order for deposit into the State General Fund.

DATED AND EFFECTIVE this 150 day of August, 2012.

GERMAINE L. MARKS
Acting Director of Insurance

CONSENT TO ORDER

- Respondent has reviewed the foregoing Findings of Fact, Conclusions of Law and Order.
- Respondent admits the jurisdiction of the Director of Insurance, State of Arizona, and admits the foregoing Findings of Fact and consents to the entry of the foregoing Conclusions of Law and Order.
- 3. Respondent is aware of his right to notice and a hearing at which he may be represented by counsel, present evidence and examine witnesses. Respondent irrevocably waives his right to such notice and hearing and to any court appeals relating to this Consent Order.
- 4. Respondent states that no promise of any kind or nature whatsoever, except as expressly contained in this Consent Order, was made to him to induce him to enter into this Consent Order and that he has entered into this Consent Order voluntarily.

1	Respondent acknowledges that the acceptance of this Consent Order by the	
2	Director is solely to settle this matter against him and does not preclude any other agency,	
3	officer, or subdivision of this state including the Department from instituting civil or criminal	
4	proceedings as may be appropriate now or in the future.	
5	6. Respondent acknowledges that this Consent Order is an administrative action	
6	that the Department will report to the National Association of Insurance Commissioners (NAIC)	
7	and that he may have to report this administrative action on any future licensing applications	
8	either to the Department or other states' Departments of Insurance.	
9	8-9-12	
10	Date Eric Mau, License No. 997006	
11	COPIES of the foregoing mailed/delivered this <u>l6th</u> day of <u>August</u> , 2012, to:	
12 13 14	Eric Mau 7420 E. Pinnacle Peak Rd. suite 120 Scottsdale, AZ 85255 Respondent	
15 16	Eric Mau 4901 E. Kelton Ln. Scottsdale, AZ 85254 Respondent	
17	Mary Kosinski, Executive Assistant for Regulatory Affairs Mary Butterfield, Consumer Affairs Assistant Director	
18	Catherine M. O'Neil, Consumer Legal Affairs Officer Steve Fromholtz, Licensing Supervisor	
19	Charles Gregory, Investigations Supervisor Randy Markham, Investigator	
20	Department of Insurance 2910 North 44 th Street, Suite 210	
21	Phoenix, Arizona 85018	
	Cuda a Buston	