


JAN 28 2010

DEPT OF INSURANCE
BY 

STATE OF ARIZONA
DEPARTMENT OF INSURANCE

In the Matter of:)
)
MAXWELL, SCOTT LORING)
(Arizona License # 4216))
(National Producer # 781404) and)
LEGACY INSURANCE & INVESTMENT)
GROUP LLC)
(Arizona License # 208971),)
)
Respondents.)
)
)
)

No. 10A-016 - INS

CONSENT ORDER

The State of Arizona Department of Insurance ("Department") has received evidence that **Scott Loring Maxwell and Legacy Insurance and Investment Group, LLC** ("Respondents") violated provisions of Title 20, Arizona Revised Statutes. Respondents wish to resolve this matter without the commencement of formal proceedings, and admit the following Findings of Fact are true and consent to entry of the following Conclusions of Law and Order.

FINDINGS OF FACT

1. Scott Loring Maxwell ("Maxwell" or "Respondent Maxwell") is, and was at all material times, licensed as an Arizona resident life, accident/health, property and casualty insurance producer, Arizona license number 4216, which expires July 31, 2013.
2. Legacy Insurance & Investment Group LLC ("Legacy") is, and was at all material times, licensed as an Arizona resident life, accident/health, property, casualty and variable life/variable annuities insurance producer, Arizona license number 208971, which expires September 30, 2013. Maxwell is the sole owner/operator of Legacy.

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ORDER

IT IS HEREBY ORDERED THAT:

1. Respondents shall immediately pay a civil penalty of \$1,000.00 for deposit into the State General Fund.

DATED AND EFFECTIVE THIS 28th day of January, 2010.

Christina Urias, Deputy Director
for CHRISTINA URIAS
Director of Insurance

CONSENT TO ORDER

1. Respondents have reviewed the foregoing Findings of Fact, Conclusions of Law and Order.

2. Respondents admit the jurisdiction of the Director of Insurance, State of Arizona, and admit the foregoing Findings of Fact and consent to the entry of the foregoing Conclusions of Law and Order.

3. Respondents are aware of their right to notice and hearing at which they may be represented by counsel, present evidence and examine witnesses. Respondents irrevocably waive their right to such notice and hearing and to any court appeals relating to this Consent Order.

4. Respondents state that no promise of any kind or nature whatsoever, except as expressly contained in this Consent Order, was made to them to induce them to enter into this Consent Order and that they have entered into this Consent Order voluntarily.

5. Respondents acknowledge that the acceptance of this Consent Order by the Director settles this matter against them but does not preclude any other agency, officer, or

1 subdivision of this state, including the Department, from instituting civil or criminal
2 proceedings as may be appropriate.

3 6. Respondents acknowledge that this Consent Order is an administrative action
4 that the Department will report to the National Association of Insurance Commissioners
5 (NAIC). Respondents further acknowledge that they must report this administrative action to
6 any and all states in which they hold an insurance license and must disclose this
7 administrative action on any license application.

8 7. Scott Loring Maxwell represents that he is owner/operator of Legacy Insurance &
9 Investment Group LLC and as such is authorized to enter into this Consent Order on its
10 behalf.

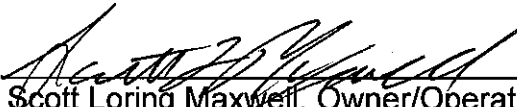
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Scott Loring Maxwell, License No. 4216

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14 Legacy Insurance & Investment Group LLC , License No.
208971

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Date

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Scott Loring Maxwell, Owner/Operator

17
18 COPY of the foregoing mailed/delivered
this 28th day of January, 2010, to:

19 Scott Loring Maxwell
20 Legacy Insurance & Investment Group LLC
21 1700 E. Elliot Rd. #16
22 Tempe, AZ 85284
23 *Respondents*

22 Mary Kosinski, Executive Assistant for Regulatory Affairs
23 Catherine M. O'Neil, Consumer Legal Affairs Officer
Mary Butterfield, Assistant Director, CAD
Steven Fromholtz, Licensing Supervisor

1 Arnold Sniegowski, Investigator
2 Arizona Department of Insurance
3 2910 North 44th Street, Suite 210
4 Phoenix, AZ 85018

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Curvey Walters Burton