

MAY 25 2007

DEPT. OF INSURANCE
BY [Signature]

STATE OF ARIZONA
DEPARTMENT OF INSURANCE

In the Matter of:)
)
4 **THAI NGOC DAO**)
)
5 Respondent .)
6 _____)

No. 07A-097-INS
CONSENT ORDER

The State of Arizona Department of Insurance ("Department") has received evidence that Thai Ngoc Dao ("Respondent") violated provisions of Title 20, Arizona Revised Statutes. Respondent wishes to resolve this matter without the commencement of formal proceedings, and admits the following Findings of Fact are true and consents to entry of the following Conclusions of Law and Order.

FINDINGS OF FACT

1. Thai Ngoc Dao ("Dao") is, and was at all material times licensed as an Arizona resident property and casualty insurance producer, Arizona license number 117360, which license expires August 31, 2009.

4. Between June and November 2006, Respondent forged clients' signatures on broker of record change forms.

CONCLUSIONS OF LAW

1. The Director has jurisdiction over this matter.
2. Respondent's conduct as described above constitutes forging another's name to any document related to an insurance transaction, within the meaning of A.R.S. § 20-295(A)(10).


1 2. Respondent admits the jurisdiction of the Director of Insurance, State of Arizona,
2 and admits the foregoing Findings of Fact and consents to the entry of the foregoing
3 Conclusions of Law and Order.

4 3. Respondent is aware of his right to notice and a hearing at which he may be
5 represented by counsel, present evidence and examine witnesses. Respondent irrevocably
6 waives his right to such notice and hearing and to any court appeals relating to this Consent
7 Order.

8 4. Respondent states that no promise of any kind or nature whatsoever, except as
9 expressly contained in this Consent Order, was made to him to induce him to enter into this
10 Consent Order and that he has entered into this Consent Order voluntarily.

11 5. Respondent acknowledges that the acceptance of this Consent Order by the
12 Director is solely to settle this matter against him and does not preclude any other agency,
13 including the Department, officer, or subdivision of this state or this agency from instituting civil
14 or criminal proceedings as may be appropriate now or in the future.

15 05/23/07
16 Date

15 
16 _____
16 Thai Ngoc Dao, License Number 117360

17
18 COPIES of the foregoing mailed/delivered
18 this 25th day of May, 2007, to:

19 Thai Ngoc Dao
20 2109 W. Buckhorn Trail
20 Phoenix, AZ 85085

21 Mary E. Kosinski, Exec. Assistant for Reg. Affairs
22 Catherine M. O'Neil, Consumer Legal Affairs Officer
22 Terry Cooper, Assistant Director
22 Steve Fromholtz, Licensing Supervisor
23 Arnold Sniegowski, Investigations Supervisor
23 Cheryl Hawley, Investigator

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Department of Insurance
2910 North 44th Street, Suite 210
Phoenix, Arizona 85018


Curvey Walters Burton